

Introduction into the Theory of Biological Nanomachines

Alexander S. Mikhailov/Holger Flechsig

Lectures 1 & 2

Amazing Mechanical Machines



YouTube: Amazing molecular machines.mp4

Bacterium E. coli



0.5 µm

A factory of single-molecule nanoscale machines: motors, ion pumps, enzymes,... Many thousands of such machines are packed inside a volume of about $1 \ \mu m^3$. The factory is self-regulated and is able to reproduce itself.



100 nm













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The Cell as a Collection of Protein Machines: Preparing the Next Generation of Molecular Biologists

Overview

with the macroscopic world, these protein assemblies contain highly coordinated moving parts. Within each protein assembly, intermolecular collisions are not only restricted to a small set of possibilities, but reaction C depends on reaction B, which in turn depends on reaction A—just as it would in a machine of our common experience (Alberts, 1984).

Underlying this highly organized activity are ordered conformational changes in one or more proteins driven by nucleoside triphosphate hydrolysis (or by other sources of energy, such as an ion gradient). Because the conformational changes driven in this way dissipate free energy, they generally proceed only in one direction.

The entire cell can be viewed as a factory that contains an elaborate network of interlocking assembly lines, each of which is composed of a set of large protein machines. Like the machines invented by humans to deal efficiently with the macroscopic world, these protein assemblies contain highly coordinated moving parts.

Bruce Alberts

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Introduction

We have always underestimated cells. Undoubtedly we still do today. But at least we are no longer as naive as we were when I was a graduate student in the 1960s.

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Real-time imaging of walking myosin motors





Myosin motors walking over actin filaments. High-speed atomic force microscopy (AFM). Scan size 130 nm x 65 nm, scan rate 146.7 ms per frame

Kodera, Yamamoto, Ishikawa & Ando "Video imaging of walking myosin V by high-speed atomic force microscopy" Nature 468, 72 (2010)

Contraction of the second seco

Artistic animation

Macroscopic Machines and Motors

- Characteristic sizes: centimeters to meters
- Characteristic times: seconds to minutes
- Material basis: metals
- Energy is continuously supplied
- Ordered, coordinated motions of mechanical parts
- Operate under well-defined environmental conditions
- Robustness against damage: low

Molecular Machines and Motors

- Characteristic sizes: nanometers to fractions
 of a micrometer
- Characteristic times: milliseconds to seconds
- Material basis: biomolecules
- Energy is discretely supplied in chemical form with ATP molecules
- Ordered, coordinated intramolecular motions of protein domains
- Operate under strong fluctuations in environment
- High robustness against perturbations

Machine operation is autonomous and self-organized



Machine operation is autonomous and self-organized



Industrial revolution: Factory in England, 18th century

Self-Organization in Living Cells

Benno Hess and Alexander Mikhailov

A living cell is an open system with a flow of energy passing through it. As shown by Schroedinger (1), the energy flow creates the conditions for strong deviations from thermodynamic equilibrium. This results in the phenomena of self-organization, the parameters of which are set by genetic as well as epigenetic constraints and opens up the possibility of autonomous pattern formation as revealed in the fundamental contributions by Turing (2) and Prigogine (3).

Recently, Lechleiter *et al.* (4) have observed propagating calcium waves inside single cells (frog eggs with a diameter of about 1 mm). Their properties were very similar to those of the spiral waves in the Belousov-Zhabotinsky reaction (5). Moreover, there are suggestions that stationary Turing patterns could also be found within biological cells (6).

However, it would be misleading to expect that the processes of self-organization in living cells represent simply a reduced copy of the pattern-formation phenomena in macroscopic reaction-diffusion systems. The laws of physics, when applied at a different scale typical for intracellular processes, can influence the mechanisms involved and produce a wealth of new properties, as demonstrated, for instance, by Purcell (7) for the case of the cell's motion.

The diffusion time for macromolecules in a cell or a cellular compartment with the linear size of 1 µm is about 10 ms. Because the turnover rate for many intracellular enzymatic reactions is a few hundreds per second, it means that within the duration of a single round of the catalysis the molecules can cross the entire reaction volume. This makes spatial pattern formation based on such reactions and diffusion at small length scales practically impossible. The finest spatial details of waves in the experiment (4) were still on the order of 10 um. The estimates are less restrictive for fast enzymatic reactions with the turnover times below 0.1 ms. The possibility that under high enzyme concentrations these reactions may develop local spatial patterning should be further investigated (6).

The random Brownian motion of macromolecules inside cells is extremely strong. Our estimates of a characteristic traffic

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Another special aspect of self-organization in living cells is the abundance of energy contained in thermal fluctuations. For instance, random hydrodynamic flows in-



A pattern emerges. Self-similar organization of microtubules showing pseudohelical bands as part of a larger scale organization. Image is 1570 μm wide.

duced by thermal fluctuations inside a cell have velocities on the order of 10 μ m/s in the time range of 10 ms and the characteristic lengths of 1 μ m. These strong thermal fluctuations can be employed by far-from-equilibrium subsystems inside the cells.

The laws of thermodynamics prevent the directed use of thermal fluctuations. However, as shown by Feynman (9) in his analysis of the thermal ratchet (a process allowing motion in one direction only), this does not generally apply to systems with some components that are far from thermal equilibrium (and thus, "Maxwell's demon" may operate if it receives and dissi-

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pates energy from external sources). The ratchet has already been proposed by Huxley (10) in his explanation of force generation by muscle fibers. Several further examples of rectification of thermal fluctuations by organelles have recently been considered [see, for example (11)]. It might be that the ratchet effects are involved to a much larger extent in the function of living cells and the organization of intracellular traffic.

The temporal self-organization of chemical processes, expressed in the generation of different periodicities and interactions between them, plays a fundamental role in living cells. Recent advances in the understanding of the mechanism of calcium oscillations not only revealed its widespread occurrence in cellular systems but showed its inherent response to frequency and coding to be a most important feature (12). This property might well be involved in the phenomenon of synchronization of synaptic boutons (13), in the shuttle streaming of Physarum polycephalum (14), in the propagation of calcium waves in Xenopus laevis oocytes (4), and mitotic cell cycles (15).

In this framework, the dynamic processes in larger cellular structures such as genomic components or the cellular actin and tubulin, the shape producing and controlling entities, should not be overlooked. Whereas the exploration of the first set of structures seems still to have a long way to go [although proper methodologies are coming up (16, 17)], investigations of the second class are already at hand.

The dynamic network of microtubules plays an essential role in the self-organization of cellular structures, turnover, and motility. The knowledge of its mechanisms and of the control of formation and decay (polymerization-depolymerization cycles) are prerequisites for understanding of the cellular interior. The complex organization of the microtubule turnover is revealed in the typical properties of arising dis-

sipative structures. Recently, spatial pattern formation from oscillating microtubules has been observed (18, 19). Depending on the length and the frequency of oscillations, different spatial microtubulin patterns might readily be formed, leading to a variety of intracellular structures that fit well to the general size of the cellular reaction space as well as its time domains. Here, the biological design of suitable control mechanisms is a problem area open for future research. Studies reported by Tabony on p. 245 of this issue (20) clearly demonstrate the influence of reaction-diffusion instabilities and related bifurca-

PERSPECTIVES

Self-Organization in Living Cells

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THE FRONTIERS COLLECTION

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Alexander S. Mikhailov Gerhard Ertl

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Self-Organization Processes in Molecular Systems





Gerhard Ertl, Nobel Prize in Chemistry 2008

Plan of this lecture course

- 1. Self-organisation in non-equilibrium systems
- 2. Stochastic thermodynamics of nanomachines
- 3. Mechanochemical motions in proteins, molecular ratchets
- 4. Methods of computational modelling for proteins (all-atom MD, Go models, elastic networks)
- 5. Examples of structurally resolved modelling for protein machines
- 6. Allosteric self-regulation of machine cycles

Fundamentals of Statistical Mechanics

$$S = k_{B} \ln W$$

Entropy is a statistical measure of disorder: *W* is the number of microscopic states that all correspond to a given macroscopic state

$$\frac{dS}{dt} \ge 0$$

The Second Law of Thermodynamics: Entropy increases with time until the state of thermal equilibrium is reached.

All systems tend to reach the state with the maximal possible disorder?



Ludwig Boltzmann (1844-1906)

Do biological systems contradict the laws of physics?

Biological organisms seem to retain and even increase their order over time. Does this contradict the Second Law of Thermodynamics?

The Second Law is only applicable to closed systems. It does not hold for a biological organism that permanently exchanges substances and energy with its environment. Even if such an organism is in a steady state, it is not the state of thermal equilibrium. The flows persist in this steady state.

"To maintain a closed system at equilibrium, no work is needed, but work cannot be also performed by a system in such a state. A dammed mountain lake contains much potential energy, but, in absence of outgoing flow, it cannot power a motor or a turbine. To generate work, the system needs to be under a transition to an equilibrium site. To keep the system over a long time under such transition, one has to engineer it like a water power station, supplying new material whose energy is used to produce work."



Ludwig von Bertalanffy (1901-1972)

In 1926 (at the age of 25!), von Bertalannfy had finished his study of philosophy and art history with a doctoral degree at the University of Vienna and, 2 years later, published his first book on theoretical biology, *Critical Theory of Form Development*, soon followed by two volumes of *Theoretical Biology*.



Thus, biological organisms feed on "negative entropy", i.e. they **import order**.



Erwin Schrödinger (1877-1961)

In emigration in Ireland, he had given in 1943 several lectures in the Dublin Institute for Advanced Studies which were published one year later as a book with the title *What is Life? The Physical Aspect of a Living Cell.* The lectures were attended by an audience of about four hundred, both physicists and biologists. Thermodynamic free energy is defined as



Suppose that (internal) energy E is not consumed or released inside an open system and temperature is fixed. Than, the balance equation for free energy is

$$\frac{dF}{dt} = -T\frac{dS}{dt} = -T\sigma + j_{in}^F - j_{out}^F$$

In the steady state we have $\frac{dF}{dt} = 0$

Hence $j_{in}^F = T\sigma + j_{out}^F \ge T\sigma$

The influx of free energy should counterbalance the effect of persistent entropy production in a non-equilibrium steady state.

A biological organism imports "order" with the flux of free energy coming with its nutrients, even just to maintain its steady state.



This closed system undergoes relaxation to the equilibrium state with temperature T_0 . It can perform work only transiently, during the relaxation process.



Ilya Prigogine (1917-2003) Nobel Prize in Chemistry 1977

He was born in Russia and emigrated as a child with his parents first to Germany and then to Belgium. In 1941 he has received a doctoral degree from Free University of Brussels and, in 1947, (at the age of 30!) published his first book *A Thermodynamic Study of Irreversible Phenomena*.



This open system cannot reach any thermal equilibrium state. In its steady state, a flow of energy is passing through the system. Work can be persistently performed.



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Suppose that the system is in a steady state (but not at thermal equilibrium!). Even though the state is stationary, heat Q would continue to arrive from the bath with the higher temperature and become released into the colder bath.

 $T_{1} > T_{2}$

Because dQ = TdS, we have $j_{in}^{s} = \frac{1}{T_{1}} \frac{dQ_{1}}{dt}$ (this is the rate of entropy removal from the hot bath) and $j_{in}^{s} = \frac{1}{T_{2}} \frac{dQ_{2}}{dt}$ (this is the rate of entropy uptake by the cold bath). Thus, the Second Law of thermodynamics yields $\frac{dS}{dt} = \sigma + j_{in}^{s} - j_{out}^{s} = \sigma + \frac{1}{T_{1}} \frac{dQ_{1}}{dt} - \frac{1}{T_{2}} \frac{dQ_{2}}{dt}$

The First Law of thermodynamics implies that a balance equation for the internal energy should hold

 $\frac{dE}{dt} = \frac{dQ_1}{dt} - \frac{dQ_2}{dt} + \frac{dR}{dt}$ where *R* is the work produced by the system.

If the system changes its volume *V*,

 $\frac{dR}{dt} = -p\frac{dV}{dt}$ where *p* is pressure.



 $T_{1} > T_{2}$

Assuming a steady state,

From the Second Law we get

$$\frac{dE}{dt} = \frac{dS}{dt} = 0$$

$$\frac{dQ_{2}}{dt} = T_{2}\sigma + \frac{T_{2}}{T_{1}}\frac{dQ_{1}}{dt}$$

Substituting this into the First Law, we find the rate of work generation (or power)



Thus, the system can operate as a *heat engine* and generate mechanical work!

Most work is performed if the engine operates near thermal equilibrium, i.e. where the entropy production rate is very small.

The efficiency of the engine is defined as the ratio of work generation (power) to the heat flow from the hot bath

$$\eta = \frac{dR/dt}{dQ_1/dt}$$

When $\sigma \rightarrow 0$, we find the maximal possible efficiency of the heat engine



Now, we consider an open chemical reactor where molecules X (substrate) are converted into molecules Y (product). This reactor is connected to two external reservoirs (*chemostats*) where concentrations of molecules X or Y are maintained constant.The reactor is also connected to an external heat bath. The chemical potential in the chemostat for X molecules is higher than in the chemostat for Y molecules.



We assume that, in the considered chemical reaction, one X molecule is converted into one Y molecule

 $X \rightarrow Y$

The First Law of thermodynamics yields

$$\frac{dE}{dt} = \mu_X j_X - \mu_Y j_Y + \frac{dR}{dt} - \frac{dQ}{dt}$$

where j_x is the number of molecules X arriving per unit time into the reactor and j_y is the number of molecules Y leaving it per unit time.

The Second Law of thermodynamics yields

$$\frac{dS}{dt} = \sigma + s_X j_X - s_Y j_Y - \frac{1}{T_0} \frac{dQ}{dt}$$

Here s_x and s_y are amounts of entropy per molecule X or Y in teh respective chemostats. According to thermodynamics, we have

$$s_{X} = -\frac{\partial \mu_{X}}{\partial T}, \quad s_{X} = -\frac{\partial \mu_{X}}{\partial T}$$



From the Second Law

$$\frac{dQ}{dt} = T_0 \sigma + T_0 \left(s_X - s_Y \right) j$$

Then, the Second Law yields

$$\frac{dR}{dt} = -T_0 \sigma + \left[T_0 \left(s_X - s_Y \right) - \left(\mu_X - \mu_Y \right) \right] j$$

In the steady state,

$$\frac{dE}{dt} = \frac{dS}{dt} = 0$$
 and $j_X = j_Y = j$

Thus, the system can operate as *a chemical engine* and generate mechanical work! Most work is performed if the engine operates near thermal equilibrium, i.e. where the entropy production rate is very small.

The efficiency of the chemical engine is defined as the ratio of work generation (power) to the (free) energy flow from the substrate chemostat

 $\eta = \frac{dR/dt}{\left(\mu_{X} - \mu_{Y}\right)j}$

When $\sigma \rightarrow 0$, we find the maximal possible efficiency of the chemical engine

$$\left(\eta = \frac{T_0}{\mu_X - \mu_Y} \frac{\partial}{\partial T} \left(\mu_X - \mu_Y\right) - 1\right)$$

Persistent oscillations are possible in open chemical reactors



Example: the Brusselator model $A \rightarrow X, 2X+Y \rightarrow 3X, B+X \rightarrow D+Y, X \rightarrow E$ Substrate Product

Amplitude and shape of such **self-oscillations** do not depend on initial conditions. The oscillations are not induced by external forces, but autonomously generated by a system itself.

Self-organised dissipative patterns in reaction-diffusion systems



Numerical simulations. Philip Maini et al (2012)



These autonomously formed spatial structures are possible in distributed open chemical reactors. They exist only as long as the energy or material flow passing through a system is maintained. Like in self-oscillations, their amplitude and shape are determined by the system itself, not externally imposed.



Alan Turing (1912 - 1954)

A mathematical genius, the founder of modern computer science (the Turing machine). His article, *The Chemical Basis of Morphogenesis* (1952), has laid foundations for the theory of non-equilibrium pattern formation in chemical and biological systems.





Photo-emission electron microscopy video of wave patterns under CO oxidation on Pt(110) catalytic surface. Real time. S. Nettesheim, ... G. Ertl, Phys. Rev. Lett. 65, 3013 (1990)



Fast-STM videos of oxygen atoms adsorbed on Ru(001) surface, T = 300 K. Real time, 6 frames per second. J. Wintterlin,, and G. Ertl, Surf. Sci. 394, 159 (1997)

A toy model of molecular turbine (motor/pump)



Watermill



As an illustration, I use a toy model of molecular turbine proposed by my friend, prof Jose Maria

Sancho, from the Barcelona university.

we go to nanoscale...

R. Perez-Carrasco, J. M. Sancho, Europhys. Lett. 100, 40001 (2012); Phys. Rev. E 88, 042705 (2013)

So far, macroscopic engines were considered.

Now, we want to see what becomes different when

This machine can be used as a motor or as a pump (if it made to rotate in the opposite direction by an external force

Watermill/ wheel pump



Piston

When external force is relatively small, the turbine operates as a motor (mechanical engine). The pressure difference between two compartments pushes the piston in the right direction. When the end of the channel is reached, the piston is replaced by a new one at the opposite side and the cycle is repeated. In each cycle, mechanical work is produced.



When external force is relatively small, the turbine operates as a motor (mechanical engine). The pressure difference between two compartments pushes the piston in the right direction. When the end of the channel is reached, the piston is replaced by a new one at the opposite side and the cycle is repeated. In each cycle, mechanical work is produced.



When external force is strong, the turbine operates as a pump. The piston is dragged to the left until it reaches the end of the channel. Then is it replaced by another piston on the opposite side and the same motion is repeated again. In each cycle, a certain number of particles is transported against their gradient.



When external force is strong, the turbine operates as a pump. The piston is dragged to the left until it reaches the end of the channel. Then is it replaced by another piston on the opposite side and the same motion is repeated again. In each cycle, a certain number of particles is transported against their gradient.

The macroscopic theory



The total force acting on the piston is

$$\overline{F} = (p_1 - p_2)A - F_E$$

where p_1 and p_2 are pressures in the two compartments. For the ideal gas $p = k_B T \rho$, where ρ is the density. Therefore,

 $\overline{F} = k_{B}TA(\rho_{1} - \rho_{2}) - F_{E}$

If γ is the effective friction coefficient of the piston, the piston velocity therefore is

$$v = \frac{\overline{F}}{\gamma} = \frac{k_B T A (\rho_1 - \rho_2) - F_E}{\gamma}$$

The velocity vanishes and changes its sign at the external *stall force*

$$F_{stall} = k_{B}TA\Delta\rho$$

When $F_E < F_{stall}$, the turbine operates as a motor, when $F_E > F_{stall}$, the turbine operates as a pump. П Now we calculate the flux of particles J. This is the number of particles transported through the channel per unit time.

If the turbine operates as a motor ($F_E < F_{stall}$), the number of particles transported in each step is $N^+ = V_0 \rho_1$ where $V_0 = AD$ is the channel volume. If *T* is the step time, we have

$$J^+ = \frac{V_0 \rho_1}{T} = v A \rho_1 \text{ if } F_E < F_{stall}.$$

For the pump,

$$J^{-} = \frac{V_0 \rho_2}{T} = vA\rho_2 \text{ if } F_E > F_{stall}.$$

The (Gibbs) free energy transported with each particle in the case of ideal gas is

$$\Delta g = \mu_1 - \mu_2 = k_B T \ln \rho_1 - k_B T \ln \rho_2 = k_B T \ln \frac{\rho_1}{\rho_2}$$

where μ_1 and μ_2 are chemical potentials of particles in two compartments.

The energy fluxes associated with particle flows in two regimes therefore are

$$P^+ = \Delta g J^+$$
, $P^- = \Delta g J$

Motor $F_E < F_{stall}$

The mechanical power (work per unit time) is

$$P_{M} = F_{E}v = \frac{1}{\gamma}F_{E}\left(F_{stall} - F\right)$$

The Gibbs free energy used to generate this work per unit time is

$$P^{+} = J^{+}(\mu_{1} - \mu_{2}) = A\rho_{1}v(\mu_{1} - \mu_{2})$$

The motor efficiency is

$$\eta = \frac{P_{M}}{P^{+}} = \frac{F_{E}}{A\rho_{1}(\mu_{1} - \mu_{2})}$$



Dashed curves correspond to the macroscopic theory

R. Perez-Carrasco, J. M. Sancho, Europhys. Lett. 100, 40001 (2012)

Motor
$$F_E < F_{stall}$$

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The Gibbs free energy used to generate this work per unit time is

$$P^{+} = J^{+}(\mu_{1} - \mu_{2}) = A\rho_{1}v(\mu_{1} - \mu_{2})$$

The motor efficiency is

$$\eta = \frac{P_{M}}{P^{+}} = \frac{F_{E}}{A\rho_{1}(\mu_{1} - \mu_{2})}$$

Pump
$$F_E > F_{stall}$$

The mechanical power (work per unit time) used to operate the pump is

$$P_{M} = F_{E}v = \frac{1}{\gamma}F_{E}\left(F_{stall} - F_{E}\right)$$

The generated Gibbs free energy used to transport particles against the gradient per unit time is

$$P^{-} = J^{-}(\mu_{1} - \mu_{2}) = A\rho_{2}v(\mu_{1} - \mu_{2})$$

The pump efficiency is

$$\eta = \frac{P^{-}}{P_{M}} = \frac{A\rho_{2}v(\mu_{1} - \mu_{2})}{vF_{E}} = \frac{A\rho_{2}(\mu_{1} - \mu_{2})}{F_{E}}$$

Both efficiencies reach their maxima at the stall force!



Dashed curves correspond to the macroscopic theory

R. Perez-Carrasco, J. M. Sancho, Europhys. Lett. 100, 40001 (2012)

What becomes different at nanoscales?

A. The piston will be subject to thermal fluctuations that affect its motion.
B. The number of particles transported per a step may be small and stochastic motions of individual particles have to be resolved.



The piston motion is now described by a stochastic Langevin equation

$$\gamma \frac{dX}{dt} = \overline{F} + \xi(t)$$

It includes a random thermal force $\xi(t)$. According to the Langevin theory of Brownian motion; this noise has Gaussian statistics, its mean value is zero and its correlation function is

 $\langle \xi(t)\xi(t')\rangle = 2\gamma k_{B}T\delta(t-t')$

The frequency spectrum of thermal noise is flat and therefore it is also called "white".





When thermal fluctuations are taken into, account, the mean flux of particles through the channel is



1. Within an interval of forces (gray region in the figure) the nanoturbine does not persistently operate as a motor or a pump, it alternates randomly between the two regimes

2. The efficiencies of the nanoscale motor and pump vanish at the boundaries of this gray zone.

What becomes different at nanoscales?



A. The piston will be subject to thermal fluctuations that affect its motion.
B. The number of particles transported per a step may be small and stochastic motions of individual particles have to be resolved.

The Brownian motion of particles i = 1, 2, ..., N that interact with the piston was numerically simulated, together with the stochastic motion of the piston itself

$$\gamma \frac{dX}{dt} = -\sum_{i} V_{p}'(x_{i} - X) - F_{E} + \xi(t)$$

$$\gamma_p \frac{dx_i}{dt} = V_p'(x_i - X) + \xi_p(t)$$

where the interaction potential was

Β

$$V_p(x_i - X) = H \exp\left[-\left(\frac{x_i - X}{a}\right)^2\right]$$

The numerical study was performed for a more efficient, two-piston turbine.

R. Perez-Carrasco, J. M. Sancho, Phys. Rev. E 88, 042705 (2013)

Comparison of analytical predictions and numerical simulation data for the efficiencies of the turbine



Dashed and solid curve are analytical predictions (for one and two pistons) accounting only for thermal fluctuations for the pistons, symbols show numerical simulation results